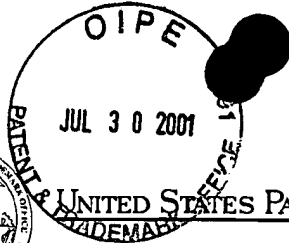


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/821,719	03/29/2001	John A. Lust	07039-260001

CONFIRMATION NO. 4609

## FORMALITIES LETTER



\*OC000000006113236\*

NANCY A. JOHNSON, PH.D  
Fish & Richardson P.C., P.A.  
60 South Sixth Street, Suite 3300  
Minneapolis, MN 55402

Date Mailed: 05/24/2001

08/01/2001 MAHMED1 00000033 09821719

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01 FC:201 355.00 OP  
02 FC:202 320.00 OP  
03 FC:203 81.00 OP  
04 FC:204 135.00 OP  
05 FC:205 65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

**355.00** Applicant must submit \$ ~~710~~ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fee(s) for this application is \$1090.

**9** ■ \$180 for ~~10~~ total claims over 20.

■ \$640 for 8 independent claims over 3.

**135.00** ■ ~~\$270~~ for multiple dependent claim surcharge.

- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of ~~\$190~~ for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ ~~1930~~ **8956.00**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a

bottom margin of at least 1.0 cm. (3/8 inch);

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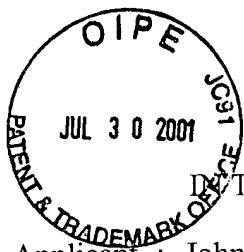
*A copy of this notice MUST be returned with the reply.*

MTB  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

093419-07001  
FOI b7D b7E b7F



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John A. Lust, et al.

Art Unit : Unknown

Serial No. : 09/821,719

Examiner : Unknown

Filed : March 29, 2001

Title : IL-1B: A NEW TARGET FOR MYELOMA THERAPY

**BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed May 24, 2001, Applicants claim the benefit of Small Entity Status and submit herewith the following:

- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ Check in the amount of \$956.00 for: basic filing fee of \$355.00, payment of the additional/multiple dependent claims fees of \$536.00, and in payment of \$65.00 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Preliminary Amendment, seven (7) sheets of substitute drawings to comply with appropriate margins.
- ☒ Other: Notice to File Missing Parts-Part 2

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

July 24, 2001

Signature

Lisa Johnson

Typed or Printed Name of Person Signing Certificate

Lisa Johnson

09/821,719 "07/30/01

Applicant : John A. Lust,  
Serial No. : 09/821,719  
Filed : March 29, 2001  
Page : 2

Casey's Docket No.: 07039-260001

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

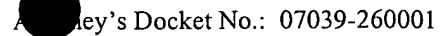
July 24, 2001

Nancy A. Johnson  
Nancy A. Johnson, Ph.D.  
Reg. No. 47,266

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John A. Lust, et al.

Art Unit : Unknown

Serial No. : 09/821,719

Examiner : Unknown

Filed : March 29, 2001

Title : IL-1B: A NEW TARGET FOR MYELOMA THERAPY

## BOX MISSING PARTS

Commissioner for Patents

Washington, D.C. 20231

## TRANSMITTAL LETTER

Correspondence relating to this application is enclosed:

1. Signed Combined Declaration and Power of Attorney (2 pgs.);
2. Check in the amount of \$956.00 for: basic filing fee of \$355.00, payment of the additional/multiple dependent claims fees of \$536.00, and in payment of \$65.00 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
3. Preliminary Amendment (2 pgs.), seven (7) sheets of substitute drawings to comply with appropriate margins;
4. Notice to File Missing Parts-Part 2; (2 pgs.);
5. Return Postcard.

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: July 24, 2001

Nancy A. Johnson  
Nancy A. Johnson, Ph.D.  
Reg. No. 47,266

Fish & Richardson P.C., P.A.  
60 South Sixth Street  
Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070

60048797.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit \_\_\_\_\_

Signature \_\_\_\_\_

Typed or Printed Name of Person Signing Certificate

July 24, 2001  
Hilda Johnson  
Lisa Johnson